



06-27-03
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Gp/2152
#4

Applicant: John E. McCall
Serial No.: 09/923,176
Filed: August 6, 2001
Title: METHOD AND SYSTEM FOR PRESENTING CUSTOMIZED ADVISORY INFORMATION

Examiner:
Group Art Unit: 2152
Docket: 00163.1559US01

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 187493975 US
Date of Deposit: June 25, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Paula Egolf
Name: Paula Egolf

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 19 References
- ☒ Return postcard

RECEIVED

JUL 01 2003

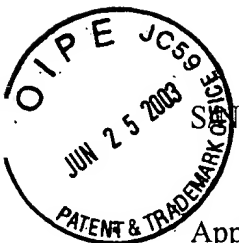
Technology Center 2100

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
303-357-1647

By: David D. Wier
Name: David D. Wier
Reg. No.: 48,229
DDW/pge





09/923,176

#4
3-31-04 CW
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	John E. McCall	Examiner:	Not Yet Assigned
Serial No.:	09/923,176	Group Art Unit:	2152
Filed:	August 6, 2001	Docket No.:	00163.1559US01
Title:	METHOD AND SYSTEM FOR PRESENTING CUSTOMIZED ADVISORY INFORMATION		

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 187493975US
Date of Deposit: June 25, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

Name: Paula Egolf

Paula Egolf

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JUL 01 2003

Dear Sir:

Technology Center 2100

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement and the items of information listed on the enclosed Form 1449 should be considered in the absence of any fees because this submission is made before the mailing date of a first Office Action on the merits in accordance with 37 C.F.R. § 1.97(b). If this understanding is incorrect, i.e., if an Office Action has been mailed prior to submission of this Information Disclosure Statement, please charge the fee set forth in 37 C.F.R. § 1.17(p) (\$180.00) to Deposit Account No. 13-2725, in accordance with 37 C.F.R. § 1.97(c).

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,



David D. Wier, Reg. No. 48,229
MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
303.357.1647

Dated: June 25, 2003



FORM 1449*
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Docket Number:

00163.1559US01

Application Number:

09/923,176

IN AN APPLICATION

(Use several sheets if necessary)

Applicant: John E. McCall.

Filing Date: August 6, 2001

Group Art Unit: 2152

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,482,785	11/13/1984	Finnegan et al.			
	5,043,860	08/27/1991	Koether et al.			
	5,136,281	08/04/1992	Bonaquist			
	5,724,261	03/03/1998	Denny et al.			
	5,875,430	02/23/1999	Koether			
	6,003,070	12/14/1999	Frantz			
	6,330,499 B1	12/11/2001	Bao-Luo Chou et al.			
	6,343,251 B1	01/29/2002	Herron et al.			
	6,356,205 B1	03/12/2002	Salvo et al.			
	6,357,292 B1	03/19/2002	Schultz et al.			
	4,241,400	12/23/1980	Kiefer			
	4,509,543	04/09/1985	Livingston et al.			
	5,945,910	08/31/1999	Gorra			
	5,956,487	09/21/1999	Venkatraman et al.			
	5,973,696	10/26/1999	Agranat et al.			
	US 2001/0039501 A1	11/08/2001	Crevel et al.			
	US 2001/0047214 A1	11/29/2001	Cocking et al.			
	US 2001/0053939 A1	12/20/2001	Crevel et al.			
	US 2001/0054038 A1	12/20/2001	Crevel et al.			

RECEIVED

JUL 01 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.